

CSCI X490 Algorithms for Computational Biology

Lecture Note 2 (by Liming Cai)

February 23, 2016

Structure of the Course

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- ▶ Part I. Introduction to Algorithms (Chapter 2)
- ▶ Part II. Fundamental Techniques (Chapters 4 - 6)
- ▶ Part III Advanced Algorithms (Chapters 7 - 10)
- ▶ Part IV Probabilistic Methods (Chapters 11 - 12)

Chapter 6. Dynamic Programming

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6.1 The power of DNA sequence comparison

cancer-causing oncogene

cystic fibrosis

Chapter 6. Dynamic Programming

6.2 The change problem

Given amount of money M , find a way to change M into the smallest number of coins from denominations $c = \{c_1, c_2, \dots, c_d\}$.

Chapter 6. Dynamic Programming

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Given amount of money M , find a way to change M into the smallest number of coins from denominations $c = \{c_1, c_2, \dots, c_d\}$.

For example, $c = \{1, 5, 10, 25\}$ for the US money.

Chapter 6. Dynamic Programming

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Chapter 6. Dynamic Programming

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- (2) At least one nickel coin is included in S ; then S should also contain minimum number of coins for $77 - 5 = 72$ cents;

Chapter 6. Dynamic Programming

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- (2) At least one nickel coin is included in S ; then S should also contain minimum number of coins for $77 - 5 = 72$ cents;
- (3) At least one dime coin is included in S ;....
- (4) If one quarter coin is included in

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Chapter 6. Dynamic Programming

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Chapter 6. Dynamic Programming

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Instead, we define a single numerical value on solution:
the smallest number of coins used:

$$\text{smallestNumCoins}(M) = \min \begin{cases} \text{smallestNumCoins}(M - 1) + 1, \\ \text{smallestNumCoins}(M - 5) + 1, \\ \text{smallestNumCoins}(M - 10) + 1, \\ \text{smallestNumCoins}(M - 25) + 1 \end{cases}$$

a recurrence to the numerical answer.

Chapter 6. Dynamic Programming

Step 3: computing *smallestNumCoins* with an algorithm

Chapter 6. Dynamic Programming

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A **straightforward top-down recursive** algorithm:

STRAIGHTFORWARDRECURSIVECHANGES(M, c)

1. **if** $M = 0$
2. **return** (0)
3. **else**
4. $v_{min} \leftarrow M$
5. **for** $i \leftarrow 1$ **to** $|c|$
6. **if** $M - c_i \geq 0$
7. $v_i \leftarrow$ STRAIGHTFORWARDRECURSIVECHANGES($M - c_i, c$) + 1
8. **if** $v_i < v_{min}$
9. $v_{min} \leftarrow v_i$
10. **return** (v_{min})

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Apparently there are a lot of re-computations.

Chapter 6. Dynamic Programming

A **less naive, top-down recursive** algorithm by keeping a table $T_{1,\dots,M}$

Chapter 6. Dynamic Programming

A less naive, top-down recursive algorithm by keeping a table $T_{1,\dots,M}$

Initially, $T_k = -1$, for all $k = 1, 2, \dots, M$.

LESSNAIVERECURSIVECHANGES(M, c)

```
1.  if  $M = 0$ 
2.    return 0
3.  else
4.     $v_{min} \leftarrow M$ 
5.    for  $i \leftarrow 1$  to  $|c|$ 
6.      if  $M - c_i \geq 0$ 
7.        if  $T_{M-c_i} = -1$ 
8.           $T_{M-c_i} \leftarrow$  LESSNAIVERECURSIVECHANGES( $M - c_i, c$ )
9.        if  $T_{M-c_i} + 1 < v_{min}$ 
10.          $v_{min} \leftarrow T_{M-c_i} + 1$ 
11.     $T_M \leftarrow v_{min}$ 
12.    return
```

Note: T is global, as a "communication media".

Chapter 6. Dynamic Programming

A **bottom-up, iterative** algorithm

Chapter 6. Dynamic Programming

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DPCHANGES(n, c, T)

1. $T_0 = 0$
2. **for** $n \leftarrow 1$ **to** M
3. $v_{min} \leftarrow n$
4. **for** $i \leftarrow 1$ **to** $|c|$
5. **if** $n - c_i \geq 0$
6. **if** $T_{n-c_i} + 1 \leq v_{min}$
7. $v_{min} \leftarrow T_{n-c_i} + 1$
8. $T_n \leftarrow v_{min}$
9. **return**

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We keep another array *coinFrom* to record how and from which amount of money a coin was generated (the underlined parts).

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DPCHANGES($M, c, T, \text{coinFrom}$)

1. $T_0 = 0$; $\text{coinFrom}_0 = 0$;
2. **for** $n \leftarrow 1$ **to** M
3. $v_{min} \leftarrow n$
4. **for** $i \leftarrow 1$ **to** $|c|$
5. **if** $n - c_i \geq 0$
6. **if** $T_{n-c_i} + 1 \leq v_{min}$
7. $v_{min} \leftarrow T_{n-c_i} + 1$; $\text{from} \leftarrow n - c_i$
8. $T_n \leftarrow v_{min}$; $\text{coinFrom}_n \leftarrow \text{from}$
9. **return**

Chapter 6. Dynamic Programming

Example:

$$M = 7, c = \{1, 2, 4\}, c_1 = 1, c_2 = 2, c_3 = 4$$

the result of running algorithm DPCHANGES:

Chapter 6. Dynamic Programming

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$$M = 7, c = \{1, 2, 4\}, c_1 = 1, c_2 = 2, c_3 = 4$$

the result of running algorithm DPCHANGES:

n (cents)	0	1	2	3	4	5	6	7
T (minimum number of coins)	0	1	1	2	1	2	2	3
$coinFrom$ (other than the last coin)	0	0	0	2	0	1	2	3

Chapter 6. Dynamic Programming

6.4 Edit distance and alignments

edit distance: allowing the alignment of two sequences of different lengths

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edit operations: *substitution*, *insertion*, and *deletion*

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example:

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example:

TGCATAT by deleting last T

TGCATA by deleting last A

TGCAT by inserting A in the front

ATGCAT by substituting C for G in the third position

ATCCAT by inserting C before the last A

ATCCGAT

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and another series of operations:

TGCATAT by inserting A at the front

ATGCATAT by deleting the second A

ATGCTAT by substituting C for G

ATCCTAT by substituting G for the second T

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These two series of operations correspond to the alignments:

-TGC-ATAT

ATCCGAT--

-TGCATAT

ATCC-GAT

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Given an alignment, we can present it as a path in a grid:

```
AT-GTTAT-  
ATCGT-A-C
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Chapter 6. Dynamic Programming

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$(0, 0) \rightarrow (1, 1) \rightarrow (2, 2) \rightarrow (2, 3) \rightarrow (3, 4) \rightarrow (4, 5) \rightarrow (5, 5) \rightarrow (6, 6) \rightarrow (7, 6) \rightarrow (7, 7)$

Chapter 6. Dynamic Programming

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Given two sequences,

- (1) there are more than one possible alignments;
- (2) each alignment has a score (to be defined);
- (3) each alignment corresponds to a path on a grid;
- (4) the goal is to find a path (i.e., an alignment) with a highest score.

Chapter 6. Dynamic Programming

6.5 Longest common subsequences

A simplified scenario:

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common subsequence:

TCTA is a *common* subsequence of two sequences ATCTGAT and TGCATA

Finding a common subsequence is a simple case for alignment:

```
AT-C-TGAT
-TGCAT-A-
```

which only count the number of matches and not penalizing insertions or deletions or mismatches.

Chapter 6. Dynamic Programming

LONGEST COMMON SUBSEQUENCE PROBLEM:

Find the longest subsequence common to two strings.

Input: two strings, $v = v_1 \dots v_n$ and $w = w_1 \dots w_m$;

Output: The longest common subsequence (LCS) of v and w .

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In the coin changing problem, we analyzed one coin at time. We looked at the last coin added.

Here we analyze one character at a time. But since this problem concerns two sequences, we may analyze two characters (one on each sequence) at a time. We will look at the last characters on the sequences.

Chapter 6. Dynamic Programming

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$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + 1, & \text{if } v_i = w_j \\ s_{i-1,j} + 0, \\ s_{i,j-1} + 0, \end{cases}$$

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$s_{0,j} = s_{i,0} = 0$ for all $0 \leq i \leq n$ and $0 \leq j \leq m$.

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Again, we do not want to directly implement the recurrence using the top-down recursive approaches.

Chapter 6. Dynamic Programming

LCS(v, w)

1. **for** $i \leftarrow 0$ **to** n
2. $s_{i,0} \leftarrow 0$
3. **for** $j \leftarrow 0$ **to** m
4. $s_{0,j} \leftarrow 0$
5. **for** $i \leftarrow 1$ **to** n
6. **for** $j \leftarrow 1$ **to** m
7. **if** $v_i = w_j$
8. $a \leftarrow 1$
9. **else**
10. $a \leftarrow -\infty$
11. **if** $s_{i-1,j-1} + a > \max\{s_{i,j-1}, s_{i-1,j}\}$
12. $s_{i,j} \leftarrow s_{i-1,j-1} + 1$; $b_{i,j} \leftarrow \swarrow$
14. **else**
15. **if** $s_{i,j-1} > \max\{s_{i-1,j-1} + a, s_{i-1,j}\}$
16. $s_{i,j} \leftarrow s_{i,j-1}$; $b_{i,j} \leftarrow \leftarrow$
18. **else**
19. $s_{i,j} \leftarrow s_{i-1,j}$; $b_{i,j} \leftarrow \uparrow$
10. **return**

Chapter 6. Dynamic Programming

Figure 6.14 on page 173 (left table for LCS).

table <i>T</i>	0	1 T	2 G	3 C	4 A	5 T	6 A
0	0	0	0	0	0	0	0
1 A	0	0 ↑	0 ↑	0 ↑	1 ↖	1 ←	1 ←
2 T	0	1 ↖	1 ←	1 ←	1 ↑	2 ↖	2 ←
3 C	0	1 ↑	1 ↑	2 ↖	2 ←	2 ↑	2 ↑
4 T	0	1 ↖	1 ↑	2 ↑	2 ↑	3 ↖	3 ←
5 G	0	1 ↑	2 ↖	2 ↑	2 ↑	3 ↑	3 ↑
6 A	0	1 ↑	2 ↑	2 ↑	3 ↖	3 ↑	4 ↖
7 T	0	1 ↖	2 ↑	2 ↑	3 ↑	4 ↖	4 ↑

Chapter 6. Dynamic Programming

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table	0	1	2	3	4	5	6
<i>T</i>		T	G	C	A	T	A
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1 A	0	0 ↑	0 ↑	0 ↑	1 ↖	1 ←	1 ←
2 T	0	1 ↖	1 ←	1 ←	1 ↑	2 ↖	2 ←
3 C	0	1 ↑	1 ↑	2 ↖	2 ←	2 ↑	2 ↑
4 T	0	1 ↖	1 ↑	2 ↑	2 ↑	3 ↖	3 ←
5 G	0	1 ↑	2 ↖	2 ↑	2 ↑	3 ↑	3 ↑
6 A	0	1 ↑	2 ↑	2 ↑	3 ↖	3 ↑	4 ↖
7 T	0	1 ↖	2 ↑	2 ↑	3 ↑	4 ↖	4 ↑

In notation of alignment:

```
A T - C - T G A T
- T G C A T - A -
↑ ↖ ← ↖ ← ↖ ↑ ↖ ↑
```

The LCS is between the two sequences is TCTA

Chapter 6. Dynamic Programming

Retrieve the corresponding LCS from table $b_{i,j}$.

Chapter 6. Dynamic Programming

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The following recursive function prints the found LCS between $v_1v_2 \dots v_i$ and string $w_1w_2 \dots w_j$:

PRINTLCS(b, v, i, j)

1. **if** $i = 0$ or $j = 0$
2. **return**
3. **if** $b_{i,j} = '\diagdown'$
4. PRINTLCS($b, v, i - 1, j - 1$)
5. **print** v_i
6. **else**
7. **if** $b_{i,j} = '\uparrow'$
8. PRINTLCS($b, v, i - 1, j$)
9. **else**
10. PRINTLCS($b, v, i, j - 1$)

Chapter 6. Dynamic Programming

The LCS computes the similarity between two sequences, thus to maximize the length.

On the other hand, edit distance is to measure the similarity between the two using distance, thus to minimize the score.

$$d_{i,j} = \min \begin{cases} d_{i-1,j-1}, & \text{if } v_i = w_j \\ d_{i-1,j} + 1, \\ d_{i,j-1} + 1, \end{cases}$$

For alignment, usually we are looking for higher scores.

Chapter 6. Dynamic Programming

6.6 Global pairwise sequence alignment

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We need scores for matches, substitutions, deletions and insertions.

(1) Scoring matrices δ , 4×4 for nucleic acids and 20×20 for proteins, which include scores for matches and substitutions.

Chapter 6. Dynamic Programming

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Chapter 6. Dynamic Programming

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(3) If the penalty is uniform for every gap, thus linear, then it can be built into the scoring matrices, resulting in 5×5 and 21×21 matrices.

(4) But often the gap penalty is not uniform. For example, **affine** gap penalty is defined as $o + e(l - 1)$ for l consecutive gaps, where o is the *gap opening* penalty and e is the *gap extension* penalty.

Chapter 6. Dynamic Programming

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Find the best alignment between two strings under a give scoring matrix.

Input: String v , w and a scoring matrix δ .

Output: An alignment of v and w whose score (as defined by the matrix δ) is the maximum among possible alignments of v and w .

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Define: $s_{i,j}$ to be the maximum score to align $v_1 \dots v_i$ and $w_1 \dots w_j$, given scoring matrix δ .

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Define: $s_{i,j}$ to be the maximum score to align $v_1 \dots v_i$ and $w_1 \dots w_j$, given scoring matrix δ .

Like LCS, we do not know which of the three scenarios is the best, so

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ s_{i-1,j} + \delta(v_i, -) \\ s_{i,j-1} + \delta(-, w_j) \end{cases}$$

where $\delta(v_i, -)$ is the gap penalty for aligning v_i to a gap, etc..

Chapter 6. Dynamic Programming

GLOBALPAIRWISEALIGNMENT(v, w)

0. $s_{0,0} = 0$
1. **for** $i \leftarrow 1$ **to** n initializing the first column
2. $s_{i,0} \leftarrow \sum_{k=1}^i \delta(v_k, -)$
3. **for** $j \leftarrow 1$ **to** m initializing the first row
4. $s_{0,j} \leftarrow \sum_{k=1}^j \delta(-, w_k)$
5. **for** $i \leftarrow 1$ **to** n
6. **for** $j \leftarrow 1$ **to** m filling entries for the matrix
7. **if** $s_{i-1,j-1} + \delta(v_i, w_j) > \max\{s_{i,j-1} + \delta(-, w_j), s_{i-1,j} + \delta(v_i, -)\}$
8. $s_{i,j} \leftarrow s_{i-1,j-1} + \delta(v_i, w_j); b_{i,j} \leftarrow \swarrow'$
9. **else**
10. **if** $s_{i,j-1} + \delta(-, w_j) > \max\{s_{i-1,j-1} + \delta(v_i, w_j), s_{i-1,j} + \delta(v_i, -)\}$
11. $s_{i,j} \leftarrow s_{i,j-1} + \delta(-, w_j); b_{i,j} \leftarrow \leftarrow'$
12. **else**
13. $s_{i,j} \leftarrow s_{i-1,j} + \delta(v_i, -); b_{i,j} \leftarrow \uparrow'$
14. **return**

Chapter 6. Dynamic Programming

6.9 Alignment with affine gap penalty

So far, we have adopted the gap penalties that are “column-independent”, such as $\delta(x, -)$.

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So far, we have adopted the gap penalties that are “column-independent”, such as $\delta(x, -)$.

When $\delta(x, -)$ is a constant $-\gamma$, where $\gamma > 0$ fixed regardless of x , we can replace the recurrence for global alignment

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ s_{i-1,j} + \delta(v_i, -) \\ s_{i,j-1} + \delta(-, w_j) \end{cases}$$

Chapter 6. Dynamic Programming

6.9 Alignment with affine gap penalty

So far, we have adopted the gap penalties that are “column-independent”, such as $\delta(x, -)$.

When $\delta(x, -)$ is a constant $-\gamma$, where $\gamma > 0$ fixed regardless of x , we can replace the recurrence for global alignment

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ s_{i-1,j} + \delta(v_i, -) \\ s_{i,j-1} + \delta(-, w_j) \end{cases}$$

with

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ s_{i-1,j} - \gamma \\ s_{i,j-1} - \gamma \end{cases}$$

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Now if gap penalty for a gap of length l (i.e., number of single gaps) is defined as

$$\rho + (l - 1)\sigma$$

where $\rho > 0$ is a gap opening penalty and $\sigma > 0$ is gap extension penalty.

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Now if gap penalty for a gap of length l (i.e., number of single gaps) is defined as

$$\rho + (l - 1)\sigma$$

where $\rho > 0$ is a gap opening penalty and $\sigma > 0$ is gap extension penalty.

We cannot simply replace $-\gamma$ with $-\rho - (l - 1)\sigma$ because γ is for just one single gap.

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Solution-1: we consider all possible gap situations

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$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ \max_{1 \leq l \leq j} \{s_{i,j-l} - \rho - (l-1)\sigma\} \\ \max_{1 \leq l \leq i} \{s_{i-l,j} - \rho - (l-1)\sigma\} \end{cases}$$

Chapter 6. Dynamic Programming

Solution-1: we consider all possible gap situations

$$s_{i,j} = \max \left\{ \begin{array}{l} s_{i-1,j-1} + \delta(v_i, w_j) \\ \max_{1 \leq l \leq j} \{s_{i,j-l} - \rho - (l-1)\sigma\} \\ \max_{1 \leq l \leq i} \{s_{i-l,j} - \rho - (l-1)\sigma\} \end{array} \right.$$

Does it work?

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Solution-1: we consider all possible gap situations

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ \max_{1 \leq l \leq j} \{s_{i,j-l} - \rho - (l-1)\sigma\} \\ \max_{1 \leq l \leq i} \{s_{i-l,j} - \rho - (l-1)\sigma\} \end{cases}$$

Does it work?

Yes.

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Solution-1: we consider all possible gap situations

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ \max_{1 \leq l \leq j} \{s_{i,j-l} - \rho - (l-1)\sigma\} \\ \max_{1 \leq l \leq i} \{s_{i-l,j} - \rho - (l-1)\sigma\} \end{cases}$$

Does it work?

Yes.

But how much time would it take to build the DP table?

Chapter 6. Dynamic Programming

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$O(n^2)$ time is needed for GLOBALPAIRWISEALIGNMENT.

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Does it work?

Yes.

But how much time would it take to build the DP table?

$O(n^2)$ time is needed for GLOBALPAIRWISEALIGNMENT.

The above recurrence would required $O(n^3)$ time.

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Solution-2: actually gap opening and gap extension penalties can be handled separately. For this, we need multiple recurrence-based DP techniques.

Chapter 6. Dynamic Programming

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$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ I_{i,j} \\ D_{i,j} \end{cases}$$

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where $I_{i,j}$ is the score of optimal alignment between $v_1 \dots v_i$ and $w_1 \dots w_j$ for which w_j is inserted, and

Chapter 6. Dynamic Programming

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where $I_{i,j}$ is the score of optimal alignment between $v_1 \dots v_i$ and $w_1 \dots w_j$ for which w_j is inserted, and

$D_{i,j}$ the score of optimal alignment between $v_1 \dots v_i$ and $w_1 \dots w_j$ for which v_i is for which v_i is deleted.

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Thus,

$$I_{i,j} = \max \begin{cases} I_{i,j-1} - \sigma & \text{extending the gap} \\ s_{i,j-1} - \rho & \text{closing the gap} \end{cases}$$

Chapter 6. Dynamic Programming

Thus,

$$I_{i,j} = \max \begin{cases} I_{i,j-1} - \sigma & \text{extending the gap} \\ s_{i,j-1} - \rho & \text{closing the gap} \end{cases}$$

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Thus,

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We would need to compute three tables, one for each of $s_{i,j}$, $I_{i,j}$ and $D_{i,j}$.

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Thus,

$$I_{i,j} = \max \begin{cases} I_{i,j-1} - \sigma & \text{extending the gap} \\ s_{i,j-1} - \rho & \text{closing the gap} \end{cases}$$

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We would need to compute three tables, one for each of $s_{i,j}$, $I_{i,j}$ and $D_{i,j}$.

Each table can be computed in time $O(n^2)$.

Chapter 6. Dynamic Programming

6.7 Scoring alignment

Chapter 6. Dynamic Programming

6.7 Scoring alignment

Consider alignment

```
ATTGTTAT-  
ATCGT-A-C
```

with a simple model. Assume $p(T, C)$ to be probability that T aligns to C,

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6.7 Scoring alignment

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with a simple model. Assume $p(T, C)$ to be probability that T aligns to C, then the score of (column 3) aligning T with C can be defined as the ratio:

$$\frac{p(T, C)}{q(T)q(C)}$$

the denominator is the probability for T and C to occur independently.

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$$\frac{p(T, C)}{q(T)q(C)}$$

the denominator is the probability for T and C to occur independently.

If the score for column 3 is greater than 1, it means T and C are evolutionarily related; otherwise unrelated.

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Alignment probability is $\prod_{k=1}^r \frac{p(\bar{v}_k, \bar{w}_k)}{q(\bar{v}_k)q(\bar{w}_k)}$, i.e., product over r columns.

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Alignment probability is $\prod_{k=1}^r \frac{p(\bar{v}_k, \bar{w}_k)}{q(\bar{v}_k)q(\bar{w}_k)}$, i.e., product over r columns.

Taken the logarithm, the score becomes either positive or negative, and the product becomes summation,

which the sum of column scores!

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PAM (*point accepted mutations*) matrices

PAM 250

	G	A	V	L	I	P	S	T	D	E	N	Q	K	R	H	F	Y	W	M	C	B	Z	X	*	
G	5																								
A	1	2																							
V	-1	0	4																						
L	-4	-2	2	6																					
I	-3	-1	4	2	5																				
P	0	1	-1	-3	-2	6																			
S	1	1	-1	-3	-1	1	2																		
T	0	1	0	-2	0	0	1	3																	
D	1	0	-2	-4	-2	-1	0	0	4																
E	0	0	-2	-3	-2	-1	0	0	3	4															
N	0	0	-2	-3	-2	0	1	0	2	1	2														
Q	-1	0	-2	-2	-2	0	-1	-1	2	2	1	4													
K	-2	-1	-2	-3	-2	-1	0	0	0	0	1	1	5												
R	-3	-2	-2	-3	-2	0	0	-1	-1	-1	0	1	3	6											
H	-2	-1	-2	-2	-2	0	-1	-1	1	1	2	3	0	2	6										
F	-5	-3	-1	2	1	-5	-3	-3	-6	-5	-3	-5	-5	-4	-2	9									
Y	-5	-3	-2	-1	-1	-5	-3	-3	-4	-4	-2	-4	-4	-4	0	7	10								
W	-7	-6	-6	-2	-5	-6	-2	-5	-7	-7	-4	-5	-3	-2	-3	0	0	17							
M	-3	-1	2	4	2	-2	-2	-1	-3	-2	-2	-1	0	0	-2	0	-2	-4	6						
C	-3	-2	-2	-6	-2	-3	0	-2	-5	-5	-4	-5	-5	-4	-3	-4	0	-8	-5	12					
B	0	0	-2	-3	-2	-1	0	0	3	3	2	1	1	-1	1	-4	-3	-5	-2	-4	3				
Z	0	0	-2	-3	-2	0	0	-1	3	3	1	3	0	0	2	-5	-4	-6	-2	-5	2	3			
X	-1	0	-1	-1	-1	-1	0	0	-1	-1	0	-1	-1	-1	-1	-2	-2	-4	-1	-3	-1	-1	-1		
*	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	1
	G	A	V	L	I	P	S	T	D	E	N	Q	K	R	H	F	Y	W	M	C	B	Z	X	*	

Examine closely related protein sequences for mutation rates.

Chapter 6. Dynamic Programming

PAM (*point accepted mutations*) matrices

	G	A	V	L	I	P	S	T	D	E	N	Q	K	R	H	F	Y	W	M	C	B	Z	X	*			
G	5																									G	
A	1	2																								A	
V	-1	0	4																							V	
L	-4	-2	2	6																						L	
I	-3	-1	4	2	5																					I	
P	0	1	-1	-3	-2	6																				P	
S	1	1	-1	-3	-1	1	2																			S	
T	0	1	0	-2	0	0	1	3																		T	
D	1	0	-2	-4	-2	-1	0	0	4																	D	
E	0	0	-2	-3	-2	-1	0	0	3	4																E	
N	0	0	-2	-3	-2	0	1	0	2	1	2															N	
Q	-1	0	-2	-2	-2	0	-1	-1	2	2	1	4														Q	
K	-2	-1	-2	-3	-2	-1	0	0	0	0	1	1	5													K	
R	-3	-2	-2	-3	-2	0	0	-1	-1	-1	0	1	3	6												R	
H	-2	-1	-2	-2	-2	0	-1	-1	1	1	2	3	0	2	6											H	
F	-5	-3	-1	2	1	-5	-3	-3	-6	-5	-3	-5	-5	-4	-2	9										F	
Y	-5	-3	-2	-1	-1	-5	-3	-3	-4	-4	-2	-4	-4	-4	0	7	10									Y	
W	-7	-6	-6	-2	-5	-6	-2	-5	-7	-7	-4	-5	-3	-2	-3	0	0	17								W	
M	-3	-1	2	4	2	-2	-2	-1	-3	-2	-2	-1	0	0	-2	0	-2	-4	6							M	
C	-3	-2	-2	-6	-2	-3	0	-2	-5	-5	-4	-5	-5	-4	-3	-4	0	-8	-5	12						C	
B	0	0	-2	-3	-2	-1	0	0	3	3	2	1	1	-1	1	-4	-3	-5	-2	-4	3					B	
Z	0	0	-2	-3	-2	0	0	-1	3	3	1	3	0	0	2	-5	-4	-6	-2	-5	2	3				Z	
X	-1	0	-1	-1	-1	-1	0	0	-1	-1	0	-1	-1	-1	-1	-2	-2	-4	-1	-3	-1	-1	-1			X	
*	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	-8	*
	G	A	V	L	I	P	S	T	D	E	N	Q	K	R	H	F	Y	W	M	C	B	Z	X	*			

PAM 250

Examine closely related protein sequences for mutation rates.

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One PAM: the amount of time in which an “average” protein mutates 1% of its amino acids.

Let $f(i, j)$ be the frequency that amino acids i and j are aligned.

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So the measure is $\frac{f(i, j)}{f(i)f(j)} = \frac{f(j|i)}{f(j)} = \frac{g(i, j)}{f(j)}$

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So the measure is $\frac{f(i, j)}{f(i)f(j)} = \frac{f(j|i)}{f(j)} = \frac{g(i, j)}{f(j)}$

Taken logarithm, the measure becomes

$$\log \frac{g(i, j)}{f(j)} = \log \frac{\text{observed frequency}}{\text{expected frequency}}$$

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PAM 1 matrix

The (i, j) entry in the PAM 1 matrix is $\log \frac{g(i, j)}{f(j)}$.

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But what does G^n mean and what does PAM n mean?

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	G	X	Y	Z	
Assume G	X	a	b	c	$g(X, Y) = \mathbf{b}$
	Y	d	e	f	
	Z	g	h	i	

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Assume G

G	X	Y	Z
X	a	b	c
Y	d	e	f
Z	g	h	i

$g(X, Y) = \mathbf{b}$

Consider G^2

G^2	X	Y	Z
X	...	$(ab + be + ch)$...
Y
Z

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	G	X	Y	Z	
Assume G	X	a	b	c	$g(X, Y) = \mathbf{b}$
	Y	d	e	f	
	Z	g	h	i	

	G^2	X	Y	Z	
Consider G^2	X	...	$(ab + be + ch)$...	
	Y	
	Z	

where

$$ab = g(X, X)g(X, Y)$$

$$be = g(X, Y)g(Y, Y)$$

$$ch = g(X, Z)g(Z, Y)$$

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	G	X	Y	Z	
Assume G	X	a	b	c	$g(X, Y) = \mathbf{b}$
	Y	d	e	f	
	Z	g	h	i	

	G^2	X	Y	Z	
Consider G^2	X	...	$(ab + be + ch)$...	
	Y	
	Z	

where

$$ab = g(X, X)g(X, Y)$$

$$be = g(X, Y)g(Y, Y)$$

$$ch = g(X, Z)g(Z, Y)$$

These are probabilities of two step mutations from X to Y . So n is a multiple of the time unit.

Chapter 6. Dynamic Programming

	G	X	Y	Z	
Assume G	X	a	b	c	$g(X, Y) = \mathbf{b}$
	Y	d	e	f	
	Z	g	h	i	

	G^2	X	Y	Z	
Consider G^2	X	...	$(ab + be + ch)$...	
	Y	
	Z	

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<http://www.bioinformatics.nl/tools/pam.html>

Chapter 6. Dynamic Programming

BLOSUM (*blocks of amino acid substitution*) matrices

Chapter 6. Dynamic Programming

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Scores within a BLOSUM are log-odds scores that measure, in an alignment, the logarithm for the ratio of the likelihood of two amino acids appearing with a biological sense and the likelihood of the same amino acids appearing by chance.

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The matrices are based on the minimum percentage identity of the aligned protein sequence used in calculating them.

Chapter 6. Dynamic Programming

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The matrices are based on the minimum percentage identity of the aligned protein sequence used in calculating them.

Every possible identity or substitution is assigned a score based on its observed frequencies in the alignment of related proteins

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```
# Matrix made by matblas from blosum62.iiij
# * column uses minimum score
# BLOSUM Clustered Scoring Matrix in 1/2 Bit Units
# Blocks Database = /data/blocks_5.0/blocks.dat
# Cluster Percentage: >= 62
# Entropy = 0.6979, Expected = -0.5209
  A  R  N  D  C  Q  E  G  H  I  L  K  M  F  P  S  T  W  Y  V  B  Z  X  *
A  4 -1 -2 -2  0 -1 -1  0 -2 -1 -1 -1 -1 -2 -1  1  0 -3 -2  0 -2 -1  0 -4
R -1  5  0 -2 -3  1  0 -2  0 -3 -2  2 -1 -3 -2 -1 -1 -3 -2 -3 -1  0 -1 -4
N -2  0  6  1 -3  0  0  0  1 -3 -3  0 -2 -3 -2  1  0 -4 -2 -3  3  0 -1 -4
D -2 -2  1  6 -3  0  2 -1 -1 -3 -4 -1 -3 -3 -1  0 -1 -4 -3 -3  4  1 -1 -4
C  0 -3 -3 -3  9 -3 -4 -3 -3 -1 -1 -3 -1 -2 -3 -1 -1 -2 -2 -1 -3 -3 -2 -4
Q -1  1  0  0 -3  5  2 -2  0 -3 -2  1  0 -3 -1  0 -1 -2 -1 -2  0  3 -1 -4
E -1  0  0  2 -4  2  5 -2  0 -3 -3  1 -2 -3 -1  0 -1 -3 -2 -2  1  4 -1 -4
G  0 -2  0 -1 -3 -2 -2  6 -2 -4 -4 -2 -3 -3 -2  0 -2 -2 -3 -3 -1 -2 -1 -4
H -2  0  1 -1 -3  0  0 -2  8 -3 -3 -1 -2 -1 -2 -1 -2 -2  2 -3  0  0 -1 -4
I -1 -3 -3 -3 -1 -3 -3 -4 -3  4  2 -3  1  0 -3 -2 -1 -3 -1  3 -3 -3 -1 -4
L -1 -2 -3 -4 -1 -2 -3 -4 -3  2  4 -2  2  0 -3 -2 -1 -2 -1  1 -4 -3 -1 -4
K -1  2  0 -1 -3  1  1 -2 -1 -3 -2  5 -1 -3 -1  0 -1 -3 -2 -2  0  1 -1 -4
M -1 -1 -2 -3 -1  0 -2 -3 -2  1  2 -1  5  0 -2 -1 -1 -1 -1  1 -3 -1 -1 -4
F -2 -3 -3 -3 -2 -3 -3 -3 -1  0  0 -3  0  6 -4 -2 -2  1  3 -1 -3 -3 -1 -4
P -1 -2 -2 -1 -3 -1 -1 -2 -2 -3 -1 -2 -4  7 -1 -1 -1 -4  3 -2 -2 -1 -2 -4
S  1 -1  1  0 -1  0  0  0 -1 -2 -2  0 -1 -2 -1  4  1 -3 -2 -2  0  0  0 -4
T  0 -1  0 -1 -1 -1 -1 -2 -2 -1 -1 -1 -1 -2 -1  1  5 -2 -2  0 -1 -1  0 -4
W -3 -3 -4 -4 -2 -2 -3 -2 -2 -3 -2 -3 -1  1 -4 -3 -2 11  2 -3 -4 -3 -2 -4
Y -2 -2 -2 -3 -2 -1 -2 -3  2 -1 -1 -2 -1  3 -3 -2 -2  2  7 -1 -3 -2 -1 -4
V  0 -3 -3 -3 -1 -2 -2 -3 -3  3  1 -2  1 -1 -2 -2  0 -3 -1  4 -3 -2 -1 -4
B -2 -1  3  4 -3  0  1 -1  0 -3 -4  0 -3 -3 -2  0 -1 -4 -3 -3  4  1 -1 -4
Z -1  0  0  1 -3  3  4 -2  0 -3 -3  1 -1 -3 -1  0 -1 -3 -2  2  1  4 -1 -4
X  0 -1 -1 -1 -2 -1 -1 -1 -1 -1 -1 -1 -1 -1 -2  0  0 -2 -1 -1 -1 -1 -1 -4
* -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4 -4  1
```


Chapter 6. Dynamic Programming

6.8 Local sequence alignment

To find conserved regions between two sequences that not necessarily similar overall.

Chapter 6. Dynamic Programming

6.8 Local sequence alignment

To find conserved regions between two sequences that not necessarily similar overall.

A situation that global alignment is not appropriate.

```
.....XXXXXXXXXX  
XXXXXXXXXX.....
```

where `XXXXXXXXXX` is a conserved motif.

Also see Figure 6.16

Chapter 6. Dynamic Programming

A *local alignment* between two sequences

$$v = v_1 \dots v_n \text{ and } w = w_1 \dots w_m$$

Chapter 6. Dynamic Programming

A *local alignment* between two sequences

$$v = v_1 \dots v_n \text{ and } w = w_1 \dots w_m$$

is a global alignment between two substrings

$$v_a \dots v_b \text{ and } w_c \dots w_d, \text{ of } v \text{ and } w \text{ respectively,}$$

that achieves the best alignment score among all such indexes a, b, c, d ,
 $1 \leq a \leq b \leq n$ and $1 \leq c \leq d \leq m$.

Chapter 6. Dynamic Programming

LOCAL SEQUENCE ALIGNMENT

Find the best local alignment between two strings.

Chapter 6. Dynamic Programming

LOCAL SEQUENCE ALIGNMENT

Find the best local alignment between two strings.

Input: Strings v and w and a scoring matrix δ ,

Output: Substrings of v and w whose global alignment, as defined by δ , is the maximum among all global alignments of all substrings of v and w .

Chapter 6. Dynamic Programming

How to solve this 'seemingly the same problem' as global alignment?

Chapter 6. Dynamic Programming

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- (1) The global alignment algorithm actually computes all "semi-global alignments".

Chapter 6. Dynamic Programming

How to solve this 'seemingly the same problem' as global alignment?

- (1) The global alignment algorithm actually computes all "semi-global alignments".

That is, it computes all best alignment scores for prefix substrings $v_1 \dots v_i$ and $w_1 \dots w_j$ for all indexes i, j .

Chapter 6. Dynamic Programming

(2) For local alignment, we would also like to drop any alignment 'head'

that has been penalized.

```
v1.....vh.....vk.....vi
w1.....wp.....wq.....wj
->| neg |<--
   score
```

Chapter 6. Dynamic Programming

(2) For local alignment, we would also like to drop any alignment 'head'

that has been penalized.

```
v1.....vh.....vk.....vi
w1.....wp.....wq.....wj
->| neg |<--
   score
```

which can be achieved by following recurrence:

$$s_{i,j} = \max \begin{cases} s_{i-1,j-1} + \delta(v_i, w_j) \\ s_{i-1,j} + \delta(v_i, -) \\ s_{i,j-1} + \delta(-, w_j) \\ 0 \end{cases}$$

Chapter 6. Dynamic Programming

- (3) For local alignment, we would like to drop any alignment 'tail' that has a negative score.

```
v1.....vk....vi
w1.....wq....wj
      ->| neg |<--
          score
```

Chapter 6. Dynamic Programming

- (3) For local alignment, we would like to drop any alignment 'tail' that has a negative score.

```
v1.....vk....vi
w1.....wq....wj
      ->| neg |<--
          score
```

This can be accomplished by tracing the DP table from the highest value cell.

Chapter 6. Dynamic Programming

6.10 Multiple alignment

Chapter 6. Dynamic Programming

6.10 Multiple alignment

To compare more than two sequences.

How to score an alignment (a column) involving k sequences ?

Chapter 6. Dynamic Programming

6.10 Multiple alignment

To compare more than two sequences.

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Option-1: assume a k -dimensional scoring matrix. (unrealistic)

Chapter 6. Dynamic Programming

6.10 Multiple alignment

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Option-2: *Sum-of-Pair* (SP) scoring scheme:

the score of a multiple alignment is computed as the sum of the scores between every pair of the aligned sequences.

Chapter 6. Dynamic Programming

6.10 Multiple alignment

To compare more than two sequences.

How to score an alignment (a column) involving k sequences ?

Option-1: assume a k -dimensional scoring matrix. (unrealistic)

Option-2: *Sum-of-Pair* (SP) scoring scheme:

the score of a multiple alignment is computed as the sum of the scores between every pair of the aligned sequences.

Option-3: entropy approach:

the score of a multiple alignment is computed as the sum of entropies of all aligned columns.

The entropy for a column i is computed as

$$\sum_{x \in \{A,C,G,T\}} f_x^i \log f_x^i$$

where f_x^i is the frequency of residue x in column i .

Chapter 6. Dynamic Programming

A dynamic programming solution for multiple alignment.

Chapter 6. Dynamic Programming

A dynamic programming solution for multiple alignment.

Assume we have 3 sequences u, v, w to align.

Consider aligning prefixes:

$u_1 \dots u_i$

$v_1 \dots v_j$

$w_1 \dots w_k$

Chapter 6. Dynamic Programming

A dynamic programming solution for multiple alignment.

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$$s_{i,j,k} = \max \begin{cases} s_{i-1,j-1,k-1} + \delta(u_i, v_j, w_k) \\ s_{i,j-1,k-1} + \delta(-, v_j, w_k) \\ s_{i-1,j,k-1} + \delta(u_i, -, w_k) \\ s_{i-1,j-1,k} + \delta(u_i, v_j, -) \\ s_{i,j,k-1} + \delta(-, -, w_k) \\ s_{i,j-1,k} + \delta(-, v_j, -) \\ s_{i-1,j,k} + \delta(u_i, -, -) \end{cases}$$

Chapter 6. Dynamic Programming

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Inefficient: $7 \times n^3$ time. In general,

Chapter 6. Dynamic Programming

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Inefficient: $7 \times n^3$ time. In general,

$O(n^m 2^{m-1})$ time and $O(n^m)$ size table for m sequences of length n .

Chapter 6. Dynamic Programming

Heuristic algorithms for multiple alignment

Chapter 6. Dynamic Programming

Heuristic algorithms for multiple alignment

Typically **progressive** approaches:

Chapter 6. Dynamic Programming

Heuristic algorithms for multiple alignment

Typically **progressive** approaches:

- Note that use a collection of pairwise alignments may not work

Chapter 6. Dynamic Programming

Heuristic algorithms for multiple alignment

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- Note that use a collection of pairwise alignments may not work
- Find a small set of 'core' sequences and align other to them

Chapter 6. Dynamic Programming

Heuristic algorithms for multiple alignment

Typically **progressive** approaches:

- Note that use a collection of pairwise alignments may not work
- Find a small set of 'core' sequences and align other to them

E.g., *center-star* algorithm

E.g., CLUSTAL

the “once gap, forever gap” strategy

Chapter 6. Dynamic Programming

6.10 $\frac{1}{2}$ HMM and Dynamic Programming Solutions

A Markov Model characterizes stochastic processes that assume following Markov property.

The “oblivious” property, i.e, the conditional probability distribution of future states of a stochastic process depends only upon the present state, not on the sequence of events that preceded it.

Chapter 6. Dynamic Programming

A Markov Model over alphabet Σ consists of a set S of states and transitions T between states, such that

Chapter 6. Dynamic Programming

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- (1) With a probability distribution, each state can emit symbols in Σ ;

$$\text{for every } s \in S, \sum_{x \in \Sigma} p(s, x) = 1$$

Chapter 6. Dynamic Programming

A Markov Model over alphabet Σ consists of a set S of states and transitions T between states, such that

- (1) With a probability distribution, each state can emit symbols in Σ ;

$$\text{for every } s \in S, \sum_{x \in \Sigma} p(s, x) = 1$$

- (2) With a probability distribution, there are transitions from each state to all other states in the model;

$$\text{for every } s \in S, \sum_{t \in S} q(s, t) = 1$$

Chapter 6. Dynamic Programming

Definitions:

Chapter 6. Dynamic Programming

Definitions:

Let M be a Markov model over alphabet Σ .

Let $X = x_1 \dots x_n$ be a sequence over Σ , i.e., $x_i \in \Sigma$.

Chapter 6. Dynamic Programming

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(π is called a path).

Chapter 6. Dynamic Programming

Definitions:

Let M be a Markov model over alphabet Σ .

Let $X = x_1 \dots x_n$ be a sequence over Σ , i.e., $x_i \in \Sigma$.

Let $\pi = s_1 \dots s_n$ be a sequence of states taken from S , i.e., $s_i \in S$ (π is called a path).

Then the probability for M to generate symbol sequence X with the path π is

$$\begin{aligned} & p(X, \pi | M) \\ &= p(s_1, x_1)q(s_1, s_2)p(s_2, x_2) \dots p(s_{n-1}, x_{n-1})q(s_{n-1}, s_n)p(s_n, x_n) \\ &= \prod_{k=1}^{n-1} p(s_k, x_k)q(s_k, s_{k+1}) \times p(s_n, x_n) \end{aligned}$$

Chapter 6. Dynamic Programming

Uses of HMMs

1. Modeling specific classes of sequences

e.g., profile HMM for motifs

Chapter 6. Dynamic Programming

Uses of HMMs

1. Modeling specific classes of sequences
e.g., profile HMM for motifs
2. Modeling general classes of sequences
typically for prediction

Chapter 6. Dynamic Programming

Fundamental algorithms with HMMs

1. decoding (for prediction, discrimination)

Chapter 6. Dynamic Programming

Fundamental algorithms with HMMs

1. decoding (for prediction, discrimination)
2. computing likelihood (for model fitness)

Chapter 6. Dynamic Programming

Fundamental algorithms with HMMs

1. decoding (for prediction, discrimination)
2. computing likelihood (for model fitness)
3. learning (for building models)

Chapter 6. Dynamic Programming

HMM DECODING PROBLEM:

Chapter 6. Dynamic Programming

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Given a Markov model M and a sequence X over the alpha Σ , find the path π^* such that

$p(X, \pi^* | M)$ achieves the maximum.

Chapter 6. Dynamic Programming

HMM DECODING PROBLEM:

Given a Markov model M and a sequence X over the alpha Σ , find the path π^* such that

$p(X, \pi^* | M)$ achieves the maximum.

That is to find an optimal path π^* such that

$$\pi^* = \arg \max_{\pi} \{p(X, \pi | M)\}$$

Chapter 6. Dynamic Programming

Dynamic programming to compute π^* such that

$p(X, \pi^* | M)$ achieves the maximum.

Chapter 6. Dynamic Programming

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Chapter 6. Dynamic Programming

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That is: to “align” x_i on X with state s_j

Chapter 6. Dynamic Programming

Dynamic programming to compute π^* such that

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If we consider the model M to be a “generic” sequence
then the task is to find the “best alignment” between X and M

That is: to “align” x_i on X with state s_j

The “score” is $p(s_j, x_i)$ for this “column”.

Chapter 6. Dynamic Programming

Consider a more general algorithm VITERBI ALGORITHM:

Computing the maximum probability for M to
generate prefix $x_1x_2 \dots x_i$

such that symbol x_i is emitted by state s_j .

Chapter 6. Dynamic Programming

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Chapter 6. Dynamic Programming

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Then we have the following recurrence:

$$V_{i,j} = \max_{s_k \in S} \{V_{i-1,k} \times q(s_k, s_j) \times p(s_j, x_i)\}$$

Chapter 6. Dynamic Programming

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Then we have the following recurrence:

$$V_{i,j} = \max_{s_k \in S} \{V_{i-1,k} \times q(s_k, s_j) \times p(s_j, x_i)\}$$

If stochastic processes always begin from state s_b , then:

$$V_{1,b} = 1 \times p(s_b, x_1)$$

$$V_{1,a} = 0 \text{ for every } a \neq b$$

Chapter 6. Dynamic Programming

dynamic programming to compute $G_{i,j}$
consisting 4 steps:

Chapter 6. Dynamic Programming

dynamic programming to compute $G_{i,j}$
consisting 4 steps:

1. problem analysis
2. objective function and recurrence formulation
3. iterative algorithm implementation
4. tracing back the solution (path)

Chapter 6. Dynamic Programming

Use an HMM to construct a sequence profile
called a *profile-HMM*

Chapter 6. Dynamic Programming

Use an HMM to construct a sequence profile

called a *profile-HMM*

example:

```
CGGGGCTatccagctGGGTCGTCACATTCCCCTTTCGATA
TTTGAGGGTGCCCAATAAaggcaactCCAAAGCGGACAAA
GGatggatctGATGCCGTTTGACGACCTAAATCAACGGCC
AAGGaagcaaccCAGGAGCGCCTTTGCTGGTTCTACCTG
CTAAAAGATTATAATGTCGGTCCttggaactTC
CTGTACATCATGCTGCatgccattTTCAAC
TACATGATCTTTTgatggcactTGGATGATGAGGGAATGC
```

Chapter 6. Dynamic Programming

8 columns for match

Chapter 6. Dynamic Programming

8 columns for match

What about insertions and deletions?

Chapter 6. Dynamic Programming

8 columns for match

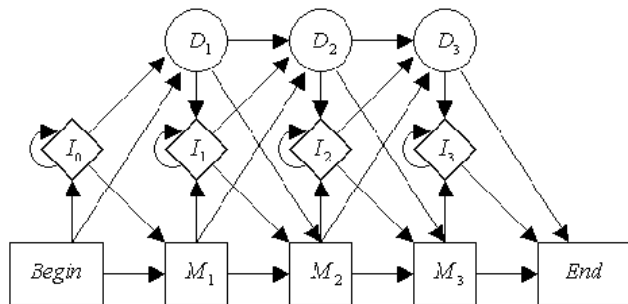
What about insertions and deletions?

Profile-HMM definition:

A profile-HMM consists of the following states:

- begin and end states
- match states $M_j, j = 1, 2, \dots, m$
- insert states $I_j, j = 1, 2, \dots, m$
- delete states $D_j, j = 1, 2, \dots, m$

Chapter 6. Dynamic Programming



Chapter 6. Dynamic Programming

Viterbi algorithm is still usable for computing π^*
but needs to be revised a little.

Chapter 6. Dynamic Programming

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Define:

$V_{i,j}^M$ is the optimal probability the HMM produces prefix $x_1 \dots x_i$ ending at state M_j .

Chapter 6. Dynamic Programming

Viterbi algorithm is still usable for computing π^* but needs to be revised a little.

Define:

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Chapter 6. Dynamic Programming

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Define:

$V_{i,j}^M$ is the optimal probability the HMM produces prefix $x_1 \dots x_i$ ending at state M_j .

$V_{i,j}^I$ is the optimal probability the HMM produces prefix $x_1 \dots x_i$ ending at state I_j .

$D_{i,j}^I$ is the optimal probability the HMM produces prefix $x_1 \dots x_i$ ending at state D_j .

Chapter 6. Dynamic Programming

Recurrences for $V_{i,j}^M$:

Chapter 6. Dynamic Programming

Recurrences for $V_{i,j}^M$:

$$V_{i,j}^M = \max\left\{\begin{array}{l} V_{i-1,j-1}^M q(M_{j-1}, M_j) p(M_j, x_i), \\ V_{i-1,j-1}^I q(I_{j-1}, M_j) p(M_j, x_i), \\ V_{i-1,j-1}^D q(D_{j-1}, M_j) p(M_j, x_i) \end{array}\right\}$$

Chapter 6. Dynamic Programming

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Base cases?

Chapter 6. Dynamic Programming

Recurrences for $V_{i,j}^M$:

$$V_{i,j}^M = \max\left\{\begin{aligned} &V_{i-1,j-1}^M q(M_{j-1}, M_j) p(M_j, x_i), \\ &V_{i-1,j-1}^I q(I_{j-1}, M_j) p(M_j, x_i), \\ &V_{i-1,j-1}^D q(D_{j-1}, M_j) p(M_j, x_i) \end{aligned}\right\}$$

Base cases?

And recurrences for V^I, V^D ?

Chapter 6. Dynamic Programming

To set up a profile-HMM:

- obtain a multiple alignment of training data;
- determine the number of match states;
- compute emission prob distribution for every match state;
- determine the number insert states;
- compute emission prob distribution for every insert state;
- determine the number of delete states;
- determine the transition probability distributions;

Chapter 6. Dynamic Programming

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- determine the number of delete states;
- determine the transition probability distributions;

Resolve the over fitting issue with pseudo-counts

Chapter 6. Dynamic Programming

Example of profile-HMM:

atccag-ct

gggcaa-ct

atggat-ct

a-gcaatcc

ttggaa-ct

atgcca-tt

atggca-ct

Chapter 6. Dynamic Programming

Example of profile-HMM:

```
atccag-ct
gggcaa-ct
atggat-ct
a-gcaatcc
ttggaa-ct
atgcca-tt
atggca-ct
```

1. how to determine match columns and insert columns (for consensus)
2. deletion is w.r.t. match
3. when there is no pseudo counts
4. to avoid over fitting

Chapter 6. Dynamic Programming

Using a profile HMM (of a family of sequences) to search for new members on genomes/databases:

Chapter 6. Dynamic Programming

Using a profile HMM (of a family of sequences) to search for new members on genomes/databases:

- construct a profile-HMM
- develop Viterbi algorithm
- choose a scanning window size
- post-process results

Chapter 6. Dynamic Programming

6.11 Gene Prediction

Identification of protein coding genes in genome sequences.

Statistical approaches

based on statistical features surrounding genes

Chapter 6. Dynamic Programming

6.11 Gene Prediction

Identification of protein coding genes in genome sequences.

Statistical approaches

- based on statistical features surrounding genes

Similarity-based approaches

- based on similarities of genes across different species