# CSCI x490 Algorithms for Computational Biology 

Lecture Note 4 (by Liming Cai)

April 21, 2016

## Part IV Probabilistic Models and Learning

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Probabilistic models

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- Expression of uncertainty of data with probability theory
- Automatic learning of the system from data
- Computational inference/prediction of unknown


## Part IV Probabilistic Models and Learning

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1. Parameter Re-estimation for HMMs

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2. SCFG for Co-evolution

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$P(D \mid \theta)$ is maximized when $\theta$ is the frequencies obtained from $D$.

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- How to compute $P\left(D, e \mid \theta_{\text {old }}\right)$ and $P\left(D \mid \theta_{\text {old }}\right)$ ?


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The algorithm to compute $\sum_{\pi} P\left(x, \pi \mid \theta_{\text {old }}\right)$ is Forward Algorithm.

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P\left(x, e \mid \theta_{o l d}\right)=\sum_{\alpha e \beta} P\left(x, \alpha e \beta \mid \theta_{o l d}\right) \\
P\left(x, \alpha e \beta \mid \theta_{o l d}\right)=\sum_{j=0}^{n} P\left(x_{[1 . . j]}, \alpha \mid \theta_{o l d}\right) P\left(e \mid \theta_{o l d}\right) P\left(x_{[j+1 \ldots n]}, \beta \mid \theta_{o l d}\right)
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where position $j$ partitions the sequence $x$ into two segments $x_{[1 . . j]}$ and $x_{[j+1 \ldots n]}$.

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Backward Algorithm computes for suffixes instead of prefixes.

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- etc..


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and $P\left(D, e \mid \theta_{\text {old }}\right)=\sum_{x \in D} P\left(x, e \mid \theta_{\text {old }}\right)$ that can be computed with both Forward and Backward.

- iterate the above steps until $\frac{\left|P\left(D \mid \theta_{\text {new }}\right)-P\left(D \mid \theta_{\text {old }}\right)\right|}{P\left(D \mid \theta_{\text {old }}\right)}<\Delta$. for a given constant $\Delta$.

Called Forward-backward algorithm.

## Part IV Probabilistic Models and Learning

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- an extension of HMM;
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- correlation patterns are limited.


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Begin $\rightarrow D_{1}$

- $M_{i} \rightarrow e M_{i+1}$
$M_{i} \rightarrow e I_{i}$
$M_{i} \rightarrow D_{i+1}$


## Part IV Probabilistic Models and Learning



We can use a different notation for the HMM.

- Begin $\rightarrow e M_{1}, \quad e \in\{a, c, g, t\}$

Begin $\rightarrow e I_{0}$
Begin $\rightarrow D_{1}$

- $M_{i} \rightarrow e M_{i+1}$
$M_{i} \rightarrow e I_{i}$
$M_{i} \rightarrow D_{i+1}$


## Part IV Probabilistic Models and Learning



We can use a different notation for the HMM.

## Part IV Probabilistic Models and Learning



We can use a different notation for the HMM.

- Begin $\rightarrow a M_{1}$, Begin $\rightarrow c M_{1}$, Begin $\rightarrow g M_{1}$, Begin $\rightarrow t M_{1}$


## Part IV Probabilistic Models and Learning



We can use a different notation for the HMM.

- Begin $\rightarrow a M_{1}$, Begin $\rightarrow c M_{1}$, Begin $\rightarrow g M_{1}$, Begin $\rightarrow t M_{1}$ Begin $\rightarrow a I_{0}$, Begin $\rightarrow c I_{0}$, Begin $\rightarrow g I_{0}$, Begin $\rightarrow t I_{0}$


## Part IV Probabilistic Models and Learning



We can use a different notation for the HMM.

- Begin $\rightarrow a M_{1}$, Begin $\rightarrow c M_{1}$, Begin $\rightarrow g M_{1}$, Begin $\rightarrow t M_{1}$ Begin $\rightarrow a I_{0}$, Begin $\rightarrow c I_{0}$, Begin $\rightarrow g I_{0}$, Begin $\rightarrow t I_{0}$ Begin $\rightarrow D_{1}$


## Part IV Probabilistic Models and Learning



We can use a different notation for the HMM.

- Begin $\rightarrow a M_{1}$, Begin $\rightarrow c M_{1}$, Begin $\rightarrow g M_{1}$, Begin $\rightarrow t M_{1}$ Begin $\rightarrow a I_{0}$, Begin $\rightarrow c I_{0}$, Begin $\rightarrow g I_{0}$, Begin $\rightarrow t I_{0}$ Begin $\rightarrow D_{1}$
- $M_{i} \rightarrow e M_{i+1}$
$M_{i} \rightarrow e I_{i}$
$M_{i} \rightarrow D_{i+1}$


## Part IV Probabilistic Models and Learning


sequence acggt

## Part IV Probabilistic Models and Learning


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- Begin $\rightarrow a M_{1}, \ldots$


## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$
$M_{i} \rightarrow c M_{i+1}, \quad M_{i} \rightarrow c I_{i}, \quad I_{i} \rightarrow c M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow g I_{i}, \quad I_{i} \rightarrow g M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow t I_{i}, \quad I_{i} \rightarrow t M_{i+1}, \quad$ for $i=1,2,3$.
$M_{4} \rightarrow$ End


## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$
$M_{i} \rightarrow c M_{i+1}, \quad M_{i} \rightarrow c I_{i}, \quad I_{i} \rightarrow c M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow g I_{i}, \quad I_{i} \rightarrow g M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow t I_{i}, \quad I_{i} \rightarrow t M_{i+1}, \quad$ for $i=1,2,3$.
$M_{4} \rightarrow$ End
we use rules to produce acggt:


## Part IV Probabilistic Models and Learning


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- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$
$M_{i} \rightarrow c M_{i+1}, \quad M_{i} \rightarrow c I_{i}, \quad I_{i} \rightarrow c M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow g I_{i}, \quad I_{i} \rightarrow g M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow t I_{i}, \quad I_{i} \rightarrow t M_{i+1}, \quad$ for $i=1,2,3$.
$M_{4} \rightarrow$ End
we use rules to produce acggt:
Begin


## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$
$M_{i} \rightarrow c M_{i+1}, \quad M_{i} \rightarrow c I_{i}, \quad I_{i} \rightarrow c M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow g I_{i}, \quad I_{i} \rightarrow g M_{i+1}$
$M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow t I_{i}, \quad I_{i} \rightarrow t M_{i+1}, \quad$ for $i=1,2,3$.
$M_{4} \rightarrow$ End
we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1}
$$

## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$

$$
M_{i} \rightarrow c M_{i+1}, \quad M_{i} \rightarrow c I_{i}, \quad I_{i} \rightarrow c M_{i+1}
$$

$$
M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow g I_{i}, \quad I_{i} \rightarrow g M_{i+1}
$$

$$
M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow t I_{i}, \quad I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3
$$

$$
M_{4} \rightarrow E n d
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2}
$$

## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$

$$
M_{i} \rightarrow c M_{i+1}, \quad M_{i} \rightarrow c I_{i}, \quad I_{i} \rightarrow c M_{i+1}
$$

$$
M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow g I_{i}, \quad I_{i} \rightarrow g M_{i+1}
$$

$$
M_{i} \rightarrow t M_{i+1}, \quad M_{i} \rightarrow t I_{i}, \quad I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3
$$

$$
M_{4} \rightarrow E n d
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2} \Rightarrow \operatorname{acg} I_{2}
$$

## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$

$$
\begin{array}{lll}
M_{i} \rightarrow c M_{i+1}, & M_{i} \rightarrow c I_{i}, & I_{i} \rightarrow c M_{i+1} \\
M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow g I_{i}, & I_{i} \rightarrow g M_{i+1} \\
M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow t I_{i}, & I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3 . \\
M_{4} \rightarrow \text { End } & &
\end{array}
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2} \Rightarrow \operatorname{acg} I_{2} \Rightarrow \operatorname{acgg} M_{3}
$$

## Part IV Probabilistic Models and Learning


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- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$

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\begin{array}{lll}
M_{i} \rightarrow c M_{i+1}, & M_{i} \rightarrow c I_{i}, & I_{i} \rightarrow c M_{i+1} \\
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M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow t I_{i}, & I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3 . \\
M_{4} \rightarrow \text { End } & &
\end{array}
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2} \Rightarrow \operatorname{acg} I_{2} \Rightarrow \operatorname{acgg} M_{3} \Rightarrow \operatorname{acggt} M_{4}
$$

## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$

$$
\begin{array}{lll}
M_{i} \rightarrow c M_{i+1}, & M_{i} \rightarrow c I_{i}, & I_{i} \rightarrow c M_{i+1} \\
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M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow t I_{i}, & I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3 . \\
M_{4} \rightarrow \text { End } & &
\end{array}
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2} \Rightarrow \operatorname{acg} I_{2} \Rightarrow \operatorname{acgg} M_{3} \Rightarrow \operatorname{acggt} M_{4} \Rightarrow \text { acggtEnd }
$$

## Part IV Probabilistic Models and Learning


sequence acggt

- Begin $\rightarrow a M_{1}, \ldots$
- $M_{i} \rightarrow a M_{i+1}, \quad M_{i} \rightarrow a I_{i}, \quad I_{i} \rightarrow a M_{i+1}$

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\begin{array}{lll}
M_{i} \rightarrow c M_{i+1}, & M_{i} \rightarrow c I_{i}, & I_{i} \rightarrow c M_{i+1} \\
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M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow t I_{i}, & I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3 . \\
M_{4} \rightarrow \text { End } & &
\end{array}
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2} \Rightarrow \operatorname{acg} I_{2} \Rightarrow \operatorname{acgg} M_{3} \Rightarrow \operatorname{acggt} M_{4} \Rightarrow \text { acggtEnd }
$$

Called a derivation

## Part IV Probabilistic Models and Learning


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$$
\begin{array}{lll}
M_{i} \rightarrow c M_{i+1}, & M_{i} \rightarrow c I_{i}, & I_{i} \rightarrow c M_{i+1} \\
M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow g I_{i}, & I_{i} \rightarrow g M_{i+1} \\
M_{i} \rightarrow t M_{i+1}, & M_{i} \rightarrow t I_{i}, & I_{i} \rightarrow t M_{i+1}, \quad \text { for } i=1,2,3 . \\
M_{4} \rightarrow \text { End } & &
\end{array}
$$

we use rules to produce acggt:

$$
\text { Begin } \Rightarrow a M_{1} \Rightarrow a c M_{2} \Rightarrow \operatorname{acg} I_{2} \Rightarrow \operatorname{acgg} M_{3} \Rightarrow \operatorname{acggt} M_{4} \Rightarrow \text { acggtEnd }
$$

Called a derivation $\Longleftrightarrow$ a path in HMM .

## Part IV Probabilistic Models and Learning

Notes on the rules:

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- Rules are grammar rules


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- rules can be associated with probability distributions;
- a derivation is associated with a probability by compounding the probabilities of used rules;
- a sequence may have more than one derivation;
- one of the derivations of the sequence is of the max probability;
- letters on the sequence are derived one at a time, independently;
- Can rules be designed to model complex relationships among letters?


## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

## Part IV Probabilistic Models and Learning

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- $H_{i} \rightarrow a H_{i+1} u$


## Part IV Probabilistic Models and Learning

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- $H_{i} \rightarrow a H_{i+1} u$
$H_{i} \rightarrow u H_{i+1} a$
$H_{i} \rightarrow c H_{i+1} g$
$H_{i} \rightarrow g H_{i+1} c$


## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$
$H_{i} \rightarrow u H_{i+1} a$
$H_{i} \rightarrow c H_{i+1} g$
$H_{i} \rightarrow g H_{i+1} c$
$H_{i} \rightarrow L_{i}$


## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$
$H_{i} \rightarrow u H_{i+1} a$
$H_{i} \rightarrow c H_{i+1} g$
$H_{i} \rightarrow g H_{i+1} c$
$H_{i} \rightarrow L_{i}$
$L_{i} \rightarrow a L_{i+1}$


## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

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$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
H_{0}
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
H_{0} \Rightarrow a H_{1} u
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \quad \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
H_{0} \Rightarrow a H_{1} u \Rightarrow a g H_{2} c u
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
H_{0} \Rightarrow a H_{1} u \Rightarrow a g H_{2} c u \Rightarrow a g a H_{3} u c u
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
H_{0} \Rightarrow a H_{1} u \Rightarrow a g H_{2} c u \Rightarrow a g a H_{3} u c u \Rightarrow a g a L_{3} u c u
$$

## Part IV Probabilistic Models and Learning

Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
\begin{aligned}
H_{0} & \Rightarrow a H_{1} u \Rightarrow a g H_{2} c u \Rightarrow a g a H_{3} u c u \Rightarrow a g a L_{3} u c u \\
& \Rightarrow a g a a L_{4} u c u
\end{aligned}
$$

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Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
H_{i} \rightarrow c H_{i+1} g
$$

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$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

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$$

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- a derivation an RNA sequence that folds into a stem-loop

$$
\begin{aligned}
H_{0} & \Rightarrow a H_{1} u \Rightarrow a g H_{2} c u \Rightarrow a g a H_{3} u c u \Rightarrow a g a L_{3} u c u \\
& \Rightarrow a g a a L_{4} u c u \Rightarrow \text { agaaa } L_{5} u c u
\end{aligned}
$$

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Consider rules for RNA sequences

- $H_{i} \rightarrow a H_{i+1} u$

$$
H_{i} \rightarrow u H_{i+1} a
$$

$$
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$$

$$
H_{i} \rightarrow g H_{i+1} c
$$

$$
H_{i} \rightarrow L_{i}
$$

$$
L_{i} \rightarrow a L_{i+1}
$$

$$
L_{i} \rightarrow c L_{i+1}
$$

$$
L_{i} \rightarrow g L_{i+1}
$$

$$
L_{i} \rightarrow u L_{i+1}
$$

$$
L_{i} \rightarrow \epsilon \text { (empty) }
$$

- a derivation an RNA sequence that folds into a stem-loop

$$
\begin{aligned}
H_{0} & \Rightarrow a H_{1} u \Rightarrow a g H_{2} c u \Rightarrow a g a H_{3} u c u \Rightarrow a g a L_{3} u c u \\
& \Rightarrow a g a a L_{4} u c u \Rightarrow \text { agaaa } L_{5} u c u \Rightarrow \text { agaaaucu }
\end{aligned}
$$

## Part IV Probabilistic Models and Learning

RNA secondary structure examples:

Stems in crossing patterns

Pseudoknots: crossing patterns of stems


## Part IV Probabilistic Models and Learning

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- nesting, parallel patterns are context-free, while


## Part IV Probabilistic Models and Learning

RNA secondary structure examples:

Stems in crossing patterns

Pseudoknots: crossing patterns of stems


- nesting, parallel patterns are context-free, while
- crossing patterns are not!


## Part IV Probabilistic Models and Learning

Illustration of context-free grammar derivation:

| $S \rightarrow a S u$ | $L \rightarrow a L$ |
| :--- | :--- |
| $S \rightarrow u S a$ | $L \rightarrow c L$ |
| $S \rightarrow g S c$ | $L \rightarrow a$ |
| $S \rightarrow c S g$ | $L \rightarrow c$ |
| $S \rightarrow L$ |  |



## Part IV Probabilistic Models and Learning

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| $S \rightarrow L$ |  |
|  |  |



- Context-free grammar derivation is a tree (because of simultaneous emissions)


## Part IV Probabilistic Models and Learning

Illustration of CFG derivation again:

| $\mathrm{S} \rightarrow \mathrm{aSu}$ | $\mathrm{S} \rightarrow$ aSu |
| :--- | :--- |
| $\mathrm{S} \rightarrow \mathrm{cSg}$ | $\rightarrow$ acSgu |
| $\mathrm{S} \rightarrow \mathrm{gSc}$ | $\rightarrow$ accSggu |
| $\mathrm{S} \rightarrow \mathrm{uSa}$ | $\rightarrow$ accuSaggu |
| $\mathrm{S} \rightarrow \mathrm{a}$ | $\rightarrow$ accuSSaggu |
| $\mathrm{S} \rightarrow \mathrm{c}$ | $\rightarrow$ accugScSaggu |
| $\mathrm{S} \rightarrow \mathrm{g}$ | $\rightarrow$ accuggSccSaggu |
| $\mathrm{S} \rightarrow \mathrm{u}$ | $\rightarrow$ accuggaccSaggu |
| $\mathrm{S} \rightarrow \mathrm{SS}$ | $\rightarrow$ accuggaccoSgaggu |
|  | $\rightarrow$ accuggacccuSagaggu |
|  | $\rightarrow$ accuggacccuuagaggu |
| 1. ACFG | 2. A derivation of "accuggacccuuagaggu" |

## Part IV Probabilistic Models and Learning

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|  |  |


$S \rightarrow$ SS
$\rightarrow$ SSS
$\rightarrow$ aSS
$\rightarrow \mathrm{acSgS}$
$\rightarrow$ accSggS
$\rightarrow$ accuggS
$\rightarrow$ accuggaSu
$\rightarrow$ accuggacSgu
$\rightarrow$ accuggaccSggu
$\rightarrow \ldots \ldots$
$\rightarrow$ accuggacccuuagaggu

(A CFG applied on the same sequence with two alternative syntactic structures)

## Part IV Probabilistic Models and Learning

Stochastic context-free grammar (SCFG):

- Probability distributions are associated with grammar rules

| 1. $S \rightarrow a S b$ | $\{0.4\}$ | 4. $S \rightarrow a$ | $\{0.1\}$ |
| :--- | :--- | :--- | :--- |
| 2. $S \rightarrow a S$ | $\{0.1\}$ | 5. $S \rightarrow b$ | $\{0.1\}$ |
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$$
\begin{aligned}
& \pi_{A}: \underline{S} \Rightarrow_{1} a \underline{S} b \Rightarrow_{1} a a \underline{S} b b \Rightarrow_{3} a a b \underline{S} b b \Rightarrow_{4} a a b a b b=x \\
& \pi_{B}: \underline{S} \Rightarrow \Rightarrow_{6} \underline{S} S \Rightarrow_{1} a \underline{S} b S \Rightarrow_{4} a a b \underline{S} \Rightarrow_{1} \text { aaba } \underline{S} b \Rightarrow_{5} \text { aaba } b=x
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& \pi_{B}: \underline{S} \Rightarrow_{6} \underline{S} S \Rightarrow_{1} a \underline{S} b S \Rightarrow_{4} a a b \underline{S} \Rightarrow_{1} a a b a \underline{S} b \Rightarrow_{5} a a b a b=x \\
& \quad \operatorname{Prob}\left(\pi_{A}, x\right)=0.4 \times 0.4 \times 0.1 \times 0.1=0.016 \\
& \operatorname{Prob}\left(\pi_{B}, x\right)=0.2 \times 0.4 \times 0.1 \times 0.4 \times 0.1=0.0032
\end{aligned}
$$

## Part IV Probabilistic Models and Learning

RNA secondary structure modeling with SCFG:

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- Effective
- specific enough for profiling
- general enough for structure prediction


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- decoding (structure prediction)
- structure analysis (structural alignment)
- probability parameter estimation


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- comparable to energy-based methods
- unique and successful in structural profile-based search
[Sakakibara et al, 1994, Eddy and Durbin 1994,
Rivas et al, 2012]


## Part IV Probabilistic Models and Learning

Stochastic grammars for (RNA) tertiary structure modeling?

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- much smaller set of resolved 3D structures (in contrast to proteins or reported RNA secondary structures)


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Stochastic grammars for (RNA) tertiary structure modeling?

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- tertiary interactions were not understood until recently

(Leontis et al, 2003; Zirbel et al, 2009. 12 base-base, 10 base-phosphate, and 10 base-ribose families)


## Part IV Probabilistic Models and Learning

All nucleotide interactions of a tRNA (excluding stacking)


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- gray relation is context-free;


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## Part IV Probabilistic Models and Learning

All nucleotide interactions of a tRNA (excluding stacking)


- gray relation is context-free;
- purple relation is context-sensitive.
- We need a higher-order model for such complex relations!


## Part IV Probabilistic Models and Learning

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3. Markov networks and learning

## Part IV Probabilistic Models and Learning

3. Markov networks and learning

- Compute joint probability distribution $P(X)$ from observed random variables $X=\left\langle X_{1}, \ldots, X_{n}\right\rangle$

Example 1: molecule residues forming structure

## Part IV Probabilistic Models and Learning

3. Markov networks and learning

- Compute joint probability distribution $P(X)$ from observed random variables $X=\left\langle X_{1}, \ldots, X_{n}\right\rangle$

Example 1: molecule residues forming structure
Example 2: gene networks from expression data

## Part IV Probabilistic Models and Learning

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- $P(X)$ is a $n^{\text {th }}$ order distribution, difficult to compute


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e.g., molecule residues are random variables


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- $P(X)$ is a $n^{\text {th }}$ order distribution, difficult to compute
- Approximation with a second order distribution $P_{G}(X)$ (i.e., binary relation, Markov network)
e.g., molecule residues are random variables
molecular structure is defined over their joint distribution, involving multi-body interactions.

Markov network model approximates multi-body interactions with pairwise interactions.

## Part IV Probabilistic Models and Learning

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Questions to answer:

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Questions to answer:

- What does $P_{G}(X)$ look like even a Markov graph $G$ is given?
- How to measure the difference between $P_{G}(X)$ and $P(X)$ ?
- Can we compute $G$ and $P_{G}(X)$ efficiently?


## Part IV Probabilistic Models and Learning

The framework of Chow and Liu 1968:

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1. the problem becomes computationally intractable;

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- when $G$ is assumed to be of tree topology, minimizing $D_{K L}$ results in maximum spanning tree problem
- If non-tree topology is desired

1. the problem becomes computationally intractable;
2. relying on heuristics.

## Part IV Probabilistic Models and Learning

Assume we have a Markov tree $T$ for variable $X=\left\{X_{1}, \ldots, X_{n}\right\}$ with with a root $X_{1}$

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Assume we have a Markov tree $T$ for variable $X=\left\{X_{1}, \ldots, X_{n}\right\}$ with with a root $X_{1}$

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- $P_{T}(X)=P\left(X_{1}\right) \prod_{i=2}^{n} P\left(X_{i} \mid X_{\pi(i)}\right)$
- Minimizing $D_{K L}\left(P(X), P_{T}(X)\right)$ would tell us what $T$ should be.


## Part IV Probabilistic Models and Learning

Kullback-Leilber divergence:

$$
D_{K L}\left(\left(P(X), P_{T}(X)\right)=\sum_{x} P(x) \log _{2} \frac{P(x)}{P_{T}(x)}\right.
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$$
D_{K L}\left(\left(P(X), P_{T}(X)\right)=\sum_{x} P(x) \log _{2} P(x)-\sum_{x} P(x) \log _{2} P_{T}(x)\right.
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D_{K L}\left(\left(P(X), P_{T}(X)\right)=\sum_{x} P(x) \log _{2} P(x)-\sum_{x} P(x) \log _{2} P_{T}(x)\right. \\
=-H(X)-\sum_{x} P(x) \log _{2} P\left(x_{1}\right) \prod_{i=2}^{n} P\left(x_{i} \mid x_{\pi(i)}\right)
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The second term is

$$
-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{1}\right)-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} \prod_{i=2}^{n} P\left(x_{i} \mid X_{\pi(i)}\right)
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## Part IV Probabilistic Models and Learning

from the last slide:

$$
=H\left(X_{1}\right)-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \sum_{i=2}^{n} \log _{2} P\left(x_{i} \mid x_{\pi(i)}\right)
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$$
\begin{gathered}
=H\left(X_{1}\right)-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \sum_{i=2}^{n} \log _{2} P\left(x_{i} \mid x_{\pi(i)}\right) \\
=H\left(X_{1}\right)-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \sum_{i=2}^{n} \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i} \mid x_{\pi(i)}\right) P\left(x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)}
\end{gathered}
$$

## Part IV Probabilistic Models and Learning

from the last slide:

$$
\begin{gathered}
=H\left(X_{1}\right)-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \sum_{i=2}^{n} \log _{2} P\left(x_{i} \mid x_{\pi(i)}\right) \\
=H\left(X_{1}\right)-\sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \sum_{i=2}^{n} \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i} \mid x_{\pi(i)}\right) P\left(x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)}
\end{gathered}
$$

## Part IV Probabilistic Models and Learning

continued from the previous page

$$
\begin{gathered}
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \\
\quad-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)}
\end{gathered}
$$

## Part IV Probabilistic Models and Learning

continued from the previous page

$$
\begin{gathered}
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \\
\quad-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{x_{i}} P\left(x_{i}\right) \log _{2} P\left(x_{i}\right)-\sum_{i=2}^{n} \sum_{x_{i}, x_{\pi(i)}} P\left(x_{i}, x_{\pi(i)}\right) \log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)}
\end{gathered}
$$

## Part IV Probabilistic Models and Learning

continued from the previous page

$$
\begin{gathered}
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \\
-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{x_{i}} P\left(x_{i}\right) \log _{2} P\left(x_{i}\right)-\sum_{i=2}^{n} \sum_{x_{i}, x_{\pi(i)}} P\left(x_{i}, x_{\pi(i)}\right) \log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)+\sum_{i=2}^{n} H\left(X_{i}\right)-\sum_{i=2}^{n} I\left(X_{i}, X_{\pi(i)}\right)
\end{gathered}
$$

## Part IV Probabilistic Models and Learning

continued from the previous page

$$
\begin{gathered}
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{\left(x_{1}, \ldots, x_{n}\right)} P\left(x_{1}, \ldots, x_{n}\right) \log _{2} P\left(x_{i}\right) \\
=H\left(X_{1}\right)-\sum_{i=2}^{n} \sum_{x_{i}} P\left(x_{i}\right) \log _{2} P\left(x_{i}\right)-\sum_{i=2}^{n} \sum_{x_{i}, x_{\pi(i)}} P\left(x_{i}, \ldots, x_{n}\right) \log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)} \\
=H\left(\log _{2} \frac{P\left(x_{i}, x_{\pi(i)}\right)}{P\left(x_{i}\right) P\left(x_{\pi(i)}\right)}\right. \\
=\sum_{i=2}^{n} H\left(X_{i}\right)-\sum_{i=2}^{n} I\left(X_{i}, X_{\pi(i)}\right) \\
=\sum_{i=1}^{n} H\left(X_{i}\right)-\sum_{i=2}^{n} I\left(X_{i}, X_{\pi(i)}\right)
\end{gathered}
$$

## Part IV Probabilistic Models and Learning

So Kullback-Leilber divergence:

$$
D_{K L}\left(\left(P(X), P_{T}(X)\right)=-H(X)+\sum_{i=1}^{n} H\left(X_{i}\right)-\sum_{i=2}^{n} I\left(X_{i}, X_{\pi(i)}\right)\right.
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## Part IV Probabilistic Models and Learning

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- The left-hand-side is minimized if $\sum_{i=2}^{n} I\left(X_{i}, X_{\pi(i)}\right)$ is maximized,


## Part IV Probabilistic Models and Learning

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$$

- The left-hand-side is minimized if $\sum_{i=2}^{n} I\left(X_{i}, X_{\pi(i)}\right)$ is maximized,
- $I\left(X_{i}, X_{\pi(i)}\right)=\sum_{x_{i}, x_{\pi(i)}} p\left(x_{i}\right) \log \frac{P\left(x_{i}, x_{\pi(i)}\right)}{p\left(x_{i}\right) p\left(x_{\pi(i)}\right)}$
is the mutual information between $X_{i}$ and $X_{\pi(i)}$.


## Part IV Probabilistic Models and Learning

Such Markovtree $T$ can be found with the following steps:

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## Part IV Probabilistic Models and Learning

Such Markovtree $T$ can be found with the following steps:

- Construct graph $G_{X}$ of $n$ vertices, one for each variable $X_{i} \in X$;
- edge $(i, j)$ has weight $I\left(X_{i}, X_{j}\right)$, for every pair of $i, j$;
- find a maximum spanning tree $T$ of $G_{X}$;
(max spanning tree has the same algorithm as min spanning tree)


## Part IV Probabilistic Models and Learning

Formulated complete graph:


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## Part IV Probabilistic Models and Learning

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## Part IV Probabilistic Models and Learning

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- Finding the best Markov tree equals the maximum spanning tree problem;
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- The obtained Markov tree $T$ is not a causation relation, (causal models are more difficult to obtain),
- The optimization idea based on $D_{K L}$ has yet to be used to obtain Markov graphs of topologies beyond tree until now.

