

## Chapter 3. The fundamentals: algorithms, the integers, and matrices

### 3.1 Algorithms

*algorithm*: a finite set of precise instructions for solving a problem.

example, an algorithm for finding the maximum value in a finite list of integers

pseudo-code, Algorithm 1 p169

Properties that algorithms share:

input

output

definiteness

correctness

finiteness

effectiveness

generality

*Searching:*

General searching problem: locate an element  $x$  in a list of distinct elements  $a_1, \dots, a_n$  or determine that it is not in the list.

Linear search, Algorithm 2 p170

Binary search, Algorithm 3 p172

which one is better? why?

*Sorting:*

Bubble sort, Algorithm 4 p 173.

Insertion sort, Algorithm 5 p 174.

which one is better? why?

## 3.2 Growth of functions

### *Big-O notation*

$f(x)$  is  $O(g(x))$  if there are constants  $C$  and  $k$  such that

$$f(x) \leq Cg(x) \text{ for } x > k.$$

### *important results:*

- about polynomial functions
- relationships among logarithm, linear, polynomial, and exponential functions

### *growth of combinations of functions*

- $(f_1 + f_2)(x)$
- $(f_1 f_2)(x)$
- $(g(f(x)))$  ??

### *Big-Omega and Big-Theta notation*

### 3.3 Complexity of algorithms

time complexity

space complexity

worst case complexity

average case complexity

table 1 on p196 commonly used terminology  
for complexity

solvable/not solvable problems

tractable/intractable problems

### 3.4 The integers and division

*division:*

$a$  divides  $b$ , written as  $a|b$ , if  $\exists c(ac = b)$

how many integers  $\leq n$  are divisible by  $d$ ?

Theorem 1:

1.  $(a|b \wedge a|c) \rightarrow a|(b + c)$
2.  $a|b \rightarrow \forall c(a|bc)$
3.  $(a|b \wedge b|c) \rightarrow a|c$

Corollary 1:

$$(a|b \wedge a|c) \rightarrow \forall n \forall m a|(mb + nc)$$

## 3.5 Primes and gcds

*primes:*

A positive integer  $p > 1$  is called *prime* if only 1 and  $p$  can divide  $p$ . A non-prime positive integer is called *composite*.

Theorem 2: (The fundamental theorem of arithmetic)

For every  $n \geq 1$ ,  $n = p_1^{c_1} p_2^{c_2} \dots p_r^{c_r}$  uniquely where  $p_1 < p_2 < \dots < p_r$  are primes and  $c_i > 0, i = 1, \dots, r$

factorization was hard .....

Theorem 3: If  $n$  is composite, then  $n$  has a prime divisor  $\leq \sqrt{n}$

### *The infinitude of primes*

Theorem 4: there are infinitely many primes

### *The distribution of primes*

Theorem 5: (The prime number theorem)

The number of primes not exceeding  $x$  is

$$\approx x/\ln x.$$

### *The division algorithm*

Theorem 6: Let  $a$  be an integer and  $d$  be a positive integer. Then there exist two unique integers  $q$  and  $r$ ,  $0 \leq r < d$ , such that

$$a = dq + r$$

Proof:



*gcd* and *lcm*

*gcd*: *greatest common divisor* of  $a$  and  $b$ : the largest  $d$  such that  $d|a$  and  $d|b$

$$\text{gcd}(24, 36) = 12$$

$a$  and  $b$  are *relatively prime* if  $\text{gcd}(a, b) = 1$

$a_1, \dots, a_n$  are *pairwise relatively prime*  
if  $\text{gcd}(a_i, a_j) = 1$  whenever  $1 \leq i < j \leq n$ .

use factorizations of  $a$  and  $b$  to find the *gcd*.

*lcm*: *least common multiple* of  $a$  and  $b$ : the smallest  $m$  such that  $a|m$  and  $b|m$

use factorization of  $a$  and  $b$  to find the *lcm*.

Theorem 7:  $ab = \text{gcd}(a, b) \cdot \text{lcm}(a, b)$

*modular arithmetic*

*a is congruent to b modulo m*

if  $m|(a - b)$ , denoted as  $a \equiv b \pmod{m}$

Theorem 8:  $a \equiv b \pmod{m}$  if and only if  
 $a \bmod m = b \bmod m$

Theorem 9:  $a \equiv b \pmod{m}$  if and only if there  
is an integer  $k$  such that  $a = b + km$ .

*applications of congruences:*

hashing functions:  $h(k) = k \bmod m$

pseudorandom numbers:  $x_{n+1} = (ax_n + c) \bmod m$

cryptology:  $f(p) = (p + k) \bmod 26$

## 3.6 Integers and Algorithms

*representations of integers*

Theorem 1: (base  $b$  expansion)

$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$ , where  
 $a_i < b$  is non-negative and  $a_k \neq 0$

denoted as  $(a_k \dots a_1 a_0)_b$

decimal expansion

binary expansion

hexadecimal expansion

octal expansion

*algorithms for integers*

Algorithm 1 Constructing Base  $b$  Expansion

Algorithm 2 Addition of Integers

Algorithm 3 Multiplying Integers

Algorithm 4 Computing div and mod

Algorithm 5 Modular Exponentiation

Algorithm 6 Eculidean Algorithm

Lemma 1 Let  $a = bq + r$ . Then  
 $\gcd(a, b) = \gcd(b, r)$ .

Proof:

## 3.8 Matrices

*matrix*: definition, row, column vectors, dimensions.

*addition* of matrices

*multiplication* of matrices

$A = [a_{ij}]_{m \times n}$  and  $B = [b_{ij}]_{n \times r}$   
 $A \times B = AB == [c_{ij}]_{m \times r}$  where

$$c_{ij} = a_{i_1}b_{1_j} + a_{i_2}b_{2_j} + \dots + a_{i_n}b_{n_j}$$

$AB \neq BA$

Algorithm 1 for matrix multiplication p249.

$A^r = A \times A \times \dots \times A$  ( $r$  times)

*0-1 matrices*

logic operations

$A \vee B$  and  $A \wedge B$  similar to addition

$A \odot B = [c_{ij}]$  where

$$c_{ij} = (a_{i1} \wedge b_{1j}) \vee (a_{i2} \wedge b_{2j}) \vee \dots \vee (a_{in} \wedge b_{nj})$$

Algorithm 2 for boolean matrix production

boolean matrices representing graphs  
determining connectivity